

California Regional Water Quality Control Board  
North Coast Region

CEASE AND DESIST ORDER No. R1-2016-0012  
(Revised on June 18, 2020 by Modification Order No. R1-2020-0020)

REQUIRING  
CITY OF EUREKA ELK RIVER WASTEWATER TREATMENT PLANT  
TO CEASE AND DESIST FROM DISCHARGING OR THREATENING  
TO DISCHARGE EFFLUENT IN VIOLATION OF  
WASTE DISCHARGE REQUIREMENTS ORDER NO. R1-2016-0001  
NPDES NO. CA0024449  
WDID NO. 1B82151OHUM

Humboldt County

The California Regional Water Quality Control Board, North Coast Region (hereafter Regional Water Board), finds:

1. The City of Eureka (hereinafter Permittee) owns and operates a wastewater treatment plant (Facility) located in Eureka, California. For the purposes of this Order, references to the “discharger” or “permittee” in applicable federal and state laws, regulations, plans, or policy are held to be equivalent to references to the Permittee herein.
2. The Facility reports an average dry weather treatment capacity of 5.24 million gallons per day (mgd), a peak dry weather treatment capacity of 8.6 mgd, and a peak wet weather secondary treatment capacity of 12 mgd. Wastewater is conveyed to the Facility through an extensive sanitary sewer system consisting of 125 miles of sewer mains, 9,500 service laterals, 17 lift stations, 3 pump stations, interceptor lines, collection lines, and manholes. The system collects and conveys over 1.5 billion gallons of wastewater per year, including infiltration and inflow (I/I). The Facility provides primary treatment with mechanical bar screens, grit removal, and primary clarification and secondary treatment using two trickling filters, followed by secondary clarification, and chlorine disinfection. The chlorinated effluent is stored in a holding pond then dechlorinated and discharged year-round at Discharge Point 001 to Humboldt Bay in conjunction with ebb tide cycles.
3. The Facility is regulated by Waste Discharge Requirements Order No. R1-2016-0001, National Pollutant Discharge Elimination System (NPDES) Permit No. CA0024449, adopted by the Regional Water Board on June 16, 2016. Order No. R1-2016-0001 contains discharge prohibitions, effluent and receiving water limitations, as well as other compliance provisions. Order No. R1-2016-0001 prohibits discharges to Humboldt Bay, which do not comply with the Water Quality Control Policy for the Enclosed Bays and Estuaries of California (Enclosed Bays and

Estuaries Policy) adopted by the State Water Resources Control Board (State Water Board), and prohibits bypass of secondary treatment.

4. The discharge of treated effluent via the outfall in Humboldt Bay was permitted in 1981 based upon mathematical modeling, tidal monitoring, and a dye study completed in 1979, which indicated that discharging at ebb tide was expected to convey all effluent to the Pacific Ocean. Based upon findings from these 1979 studies completed by the Permittee, the Regional Water Board concluded in Resolution No. 80-10 that an ebb discharge was a viable alternative to an ocean outfall discharge as a means of complying with the Enclosed Bays and Estuaries Policy adopted in 1974.
5. On January 8, 2014, the Permittee submitted an Effluent Discharge Study for the Elk River Wastewater Treatment Plant (2014 Effluent Discharge Study). The 2014 Effluent Discharge Study modeling analysis shows that under all simulations, Facility effluent is not completely conveyed to the ocean, and under certain conditions, up to 90% of the effluent remains in Humboldt Bay.
6. Based on the conclusions of the 2014 Effluent Discharge Study, a significant portion of the Facility's effluent remains in Humboldt Bay. Consequently, the Regional Water Board has determined that the discharge does not qualify as an ocean discharge as previously concluded, but rather a bay discharge subject to the Enclosed Bays and Estuaries Policy. The method to comply with the Enclosed Bays and Estuaries Policy has not yet been determined but is likely to be complex and requires extended time to achieve.
7. Regional Water Board waste discharge requirements for the Facility dating back to 1984 have authorized bypass of secondary treatment during peak wet weather flows exceeding 12 mgd. Order No. R1-2016-0001 recognizes that ebb tide currents in Humboldt Bay are not sufficient in strength to carry effluent discharges out of Humboldt Bay and prohibits discharges to Humboldt Bay that do not receive full biological secondary treatment. The method to eliminate bypass of secondary treatment has not yet been determined but is likely to be complex and requires extended time to achieve.
8. Section 13301 of the California Water Code states "When a regional board finds that a discharge of waste is taking place, or threatening to take place, in violation of requirements or discharge prohibitions prescribed by the regional board or the state board, the board may issue an order to cease and desist and direct that those persons not complying with the requirements or discharge prohibitions to (a) comply forthwith, (b) comply in accordance with a time schedule set by the board, or (c) in the event of a threatened violation, take appropriate remedial or preventative action."
9. Water Code section 13267, subdivision (a) provides that the Regional Water Board may investigate the quality of any waters of the state within its region in connection

with any action relating to the Basin Plan. Water Code section 13267, subdivision (b) provides that the Regional Water Board, in conducting an investigation, may require a discharger to furnish, under penalty of perjury, technical or monitoring program reports. The reports required by this Order, pursuant to Water Code section 13267, are necessary to ensure that the future threat to water quality created by activities at the Facility are properly assessed and controlled. Due to the importance of protecting water resources as explained herein, the costs associated with developing the required reports and work plans bear a reasonable relationship to the benefits that will be obtained from having the necessary information for the Regional Water Board to properly regulate and monitor the Facility.

10. Water Code section 13383, subdivision (a) provides the Regional Water Board may establish monitoring, inspection, entry reporting, and record keeping requirements, as authorized by section 13160, 13376, or 13377 for any person who discharges, or proposes to discharge to navigable waters. Subdivision (b) provides that the Regional Water Board may require any person subject to this section to establish and maintain monitoring equipment or methods, including, where appropriate, biological monitoring methods, sample effluent as prescribed, and provide other information as may be reasonably required.

11. Terms in Order No. R1-2016-0001 that are being violated or threaten to be violated are:

“III. DISCHARGE PROHIBITIONS

Discharge Prohibition III.A. The discharge of waste to Humboldt Bay is prohibited unless it is done in a manner which complies with the State Water Board, *Water Quality Control Policy for the Enclosed Bays and Estuaries of California* (1974, 1995) (Enclosed Bays and Estuaries Policy).

Discharge Prohibition III E. The discharge of untreated or partially treated waste (receiving a lower level of treatment than secondary treatment as described in section II.A of the Fact Sheet) from anywhere within the collection, treatment, or disposal systems is prohibited, except as provided for in Attachment D, Standard Provisions G (Bypass) and H (Upset).”

12. The Regional Water Board has determined that it is infeasible for the Permittee to comply with Discharge Prohibitions A and E in a short period of time.

13. The Permittee is unable to consistently comply with Discharge Prohibitions A and E because new or modified control measures are needed to achieve compliance. The new or modified control measures are dependent on the completion of a series of studies that are needed to achieve compliance, thus these control measures cannot be designed, installed, and put into operation within 30 calendar days.

14. Requirements of this Order establish a time schedule for bringing the Facility into compliance with Discharge Prohibitions. The original CDO, adopted on June 16, 2016, provided the Permittee with a maximum of 168 months to complete a series of studies, and based upon the findings from those studies, to design, install, and implement control measures that will lead to compliance with the Discharge Prohibitions. This CDO modification provides the Permittee with an additional 15 months to complete studies and design, install, and implement control measures that will lead to compliance with the Discharge Prohibitions, as further discussed in Findings 17 through 21, below. This CDO modification also includes modifications to language in Task 2A to clarify that the Enclosed Bays and Estuaries Feasibility Study must address compliance with all applicable permitting and regulatory requirements.
15. The compliance schedule established in this Order accounts for the considerable uncertainty in determining effective measures necessary to achieve compliance with Order No. R1-2016-0001. The compliance schedule is based on reasonably expected times needed to evaluate potential compliance measures in a step-wise manner and is intended to achieve compliance at the earliest date practicable.
16. The original CDO stated that the Regional Water Board may wish to revisit these assumptions as more information becomes available from the Permittee's evaluations. This CDO modification revisits the assumptions that were originally used in establishing the compliance schedule and establishes a revised schedule for compliance with the Enclosed Bays and Estuaries Plan based on new information, as described further in Findings 17 through 21, below.
17. The Permittee has worked diligently to comply with tasks identified in the Requirements section of this Order. The Permittee has completed in a timely manner Tasks 1A through 1D under the compliance schedule to eliminate discharges of untreated or partially treated waste and has made significant progress on Task 2A under the original compliance schedule to comply with the Enclosed Bays and Estuaries Policy.
18. The Permittee has met quarterly with Regional Water Board staff during its development of the Enclosed Bays and Estuaries Feasibility Study required under Task 2A of the Order. The Permittee has made progress toward identifying potential projects that could be implemented to provide enhancement to Humboldt Bay and meet the enhancement requirements of the Enclosed Bays and Estuaries Policy. The Permittee has stated, and Regional Water Board staff agree, that additional time is needed to gather additional information to narrow the project list to a list of potentially feasible enhancement projects and to further evaluate the feasibility of the most promising project options.
19. Development of the Feasibility Study has proven to be a complex, time-consuming task for both the Permittee and the Regional Water Board. Several factors have

resulted in delays that make it difficult if not impossible for the Permittee to complete Task 2A by the original July 1, 2020 due date. Those factors include longer than anticipated review times by Regional Water Board staff, an evolving understanding of the possible compliance solutions and the need to research those potential solutions, and Regional Water Board staffs' recognition of the need to consult with other environmental permitting agencies such as the California Coastal Commission. In addition, several emergency situations caused temporary, but significant redirection of Permittee and staff resources and priorities, including mandatory power outages in the North Coast Region during Fall 2019, fires in Sonoma County in Fall 2019, and the COVID-19 emergency in Winter/Spring 2020.

20. The Permittee has indicated that at least a full additional year is needed due to the need for the Permittee to plan and budget for the extra work and time that will be required to complete the Enclosed Bays and Estuaries Feasibility Study required by Task 2A. For the reasons stated in Finding 20, Regional Water Board staff believe that it is appropriate to extend the deadline for completion of Task 2A an additional 15 months to October 1, 2021. This date is after the expiration date of Order No. R1-2016-0001; however, the CDO compliance dates can be reassessed during development of the next permit in 2021.
21. This Order also extends the time to complete Tasks 2B through 2F in the Enclosed Bays and Estuaries compliance schedule in the Requirements section of this Order by 15 months. The additional 15 months is needed to complete each task because the subsequent tasks are dependent on completion of Task 2A and the timing between tasks is necessary to complete the work required by each task.
22. This Order also modifies Task 2A to clarify the need for the feasibility study to address compliance with all applicable permitting and regulatory requirements and adds a new requirement to clarify that all reports and documents required under the CDO shall be submitted to the Regional Water Board Executive Officer for review and approval. tween tasks is necessary for completion of the work required by each task.
23. Issuance of this Order is exempt from the provisions of the California Environmental Quality Act (Pub. Resources Code § 21000 et seq.) ("CEQA") pursuant to Water Code section 13389 since the adoption or modification of an NPDES permit for an existing source is statutorily exempt and this Order only serves to implement an NPDES permit. (Pacific Water Conditioning Ass'n, Inc. v. City Council of City of Riverside (1977) 73 Cal.App.3d 546, 555-556.). Issuance of this Order is also exempt from CEQA pursuant to sections 15301, 15306 and 15321 of title 14 of the California Code of Regulations.
24. On June 18/19, 2020, after due notice to the Permittee and all other interested persons, the Regional Water Board conducted a public hearing and received evidence regarding this Order.

25. This Cease and Desist Order is effective upon adoption by the Regional Water Board.

**THEREFORE, IT IS HEREBY ORDERED**, that pursuant to Water Code section 13301, 13267, and 13383, the Permittee shall cease and desist from discharging and threatening to discharge waste in violation of the terms of Waste Discharge Requirements Order No. R1-2016-0001, National Pollutant Discharge Elimination System (NPDES) Permit No. CA0024449 by complying with the following requirements and schedule of actions to achieve compliance at the earliest possible date:

1. The Permittee shall complete the tasks in the following compliance schedule **in order to eliminate the discharge of untreated or partially treated waste**:

Task 1A. By November 1, 2016, the Permittee shall prepare and submit a Sanitary Sewer Evaluation Survey (SSES) for Executive Officer approval. At a minimum, the SSES shall:

- a. Characterize the existing collection system using available closed-circuit television (CCTV) data and employing a system for ranking the condition of pipelines that meets the National Association of Sewer Service Companies (NASCO) or other industry-accepted standards;
- b. Identify and prioritize collection system deficiencies;
- c. Provide a schedule of needed long-term and short-term repairs to address system deficiencies and reduce exfiltration, infiltration and inflow (I/I);
- d. Include a hydraulic analysis of the collection system under estimated dry weather and peak wet weather flow conditions;
- e. Present findings of the hydraulic analysis on a GIS system map or other database; and
- f. Identify areas where increases in pipeline size, I&I reduction programs, increases and redundancy in pumping capacity are needed to eliminate any identified hydraulic capacity deficiencies.

Task 1B. By March 1, 2017, the Permittee shall prepare and submit a comprehensive Wet Weather Improvement Plan for Executive Officer approval. At a minimum, the Wet Weather Improvement Plan shall include the following components for the reduction and ultimate elimination of flows that bypass secondary treatment in order to comply with Order No. R1-2016-0001:

- a. Incorporate proposed actions from Effluent Discharge Study for the Elk River Wastewater Treatment Plant (2014 Effluent Discharge Study);
- b. Incorporate the priorities and schedule of needed long-term and short-term repairs from the SSES under Task 1A;
- c. Identify a range of alternatives for treatment plant corrective actions, improvements, upgrades, configuration, and private sewer lateral programs;
- d. Specify for each preferred measure, the volume of wastewater to be eliminated from influent and/or bypass, an implementation schedule, cost, and proposed funding mechanism(s); and
- a. Describe the Permittee's strategy to work with satellite agencies to reduce peak wet weather flows (e.g. establishing quantifiable goals in the reduction of inflow and infiltration).

Task 1C. By July 1, 2017, the Permittee shall begin implementation of the Wet Weather Improvement Plan in accordance with the schedule approved by the Executive Officer.

Task 1D. By July 1, 2018, and annually by July 1 thereafter, the Permittee shall evaluate and report on the implementation and effectiveness of its Wet Weather Improvement Plan. Elements to be included in the report include, but are not limited to, progress on private sewer lateral programs and status of capital improvement projects. The Permittee shall include information from the satellite agencies to the extent that information is available.

Task 1E. Discharges of untreated or partially treated waste shall be eliminated as soon as practicable, but in no case later than July 1, 2028.

2. The Permittee shall complete the tasks in the following compliance schedule in **order to comply with the Enclosed Bays and Estuaries Policy**:

Task 2A. By October 1, 2021, the Permittee shall submit for Executive Officer review and approval a Feasibility Study that considers the Outfall Inspection Report, Updated Sewer Use Ordinance Evaluation Report, Climate Change Readiness Study Plan, and Biological Survey Report required in accordance with Order No. R1-2016-0001, and evaluates and recommends alternatives to achieve compliance with Prohibition III.A of Order No. R1-2016-0001. At a minimum, the Enclosed Bays and Estuaries Compliance Feasibility Study shall:

- a. Assess the existing Facility system configuration and performance, including compliance with all applicable permitting and regulatory requirements;
- b. Assess the existing condition and performance of the outfall at Discharge Point 001, including compliance with all applicable permitting and regulatory requirements;
- c. Analyze potential alternatives to ensure compliance with the Enclosed Bays and Estuaries Policy, including, but not limited to, Facility system configuration, effluent quality, and environmental enhancements;
- d. Identify the Permittee's Preferred Alternative(s), including costs, a summary of how the Preferred Alternative(s) specifically considered the Outfall Inspection Report, Updated Sewer Use Ordinance Evaluation Report, Climate Change Readiness Study Plan, Biological Survey Report, and all applicable permitting and regulatory requirements; and
- e. Propose a schedule of implementation.

Task 2B. By October 1, 2023, the Permittee shall submit written verification and an electronic copy of preliminary design plans and specifications for construction of the Executive Officer approved Preferred Alternative(s).

Task 2C. By October 1, 2024, the Permittee shall submit an Environmental Impact Report (EIR) or other documentation as necessary to complete the California Environmental Quality Act (CEQA) process for the Preferred Alternative(s) identified in Task 2A.

Task 2D. By October 1, 2025, the Permittee shall submit written verification and an electronic copy of complete design plans and specifications for construction of the Preferred Alternative(s).

Task 2E. By October 1, 2027, the Permittee shall procure and submit copies of all permits necessary to implement the Preferred Alternative(s) (i.e. Coastal Commission, Army Corps of Engineers, Calif. Dept. of Fish and Wildlife, Regional Water Board, etc.).

Task 2F. By October 1, 2031, the Permittee shall complete construction of the Preferred Alternative(s) which complies with the Enclosed Bays and Estuaries Policy and achieve compliance with all Regional Water Board waste discharge requirements.



3. All required reports and documents shall be submitted to the Regional Water Board Executive Officer for review and approval.
4. In the interim period until the Permittee can achieve full compliance with Order No. R1-2016-0001, the Permittee shall operate and maintain, as efficiently as possible, all facilities and systems necessary to comply with all prohibitions, effluent limitations and requirements identified in Order No. R1-2016-0001 or any future waste discharge requirements issued for the Facility.
5. If the Permittee is unable to perform any activity or submit any documentation in compliance with the deadlines set forth in the Requirements above, the Permittee may request, in writing, that the Regional Water Board grant an extension of the time. The extension request shall include justification for the delay.
6. If the Regional Water Board or its delegee finds that the Permittee fails to comply with the provisions of this Order, the Regional Water Board or its delegee may take all actions authorized by law, including referring the matter to the Attorney General for judicial enforcement or issuing a complaint for administrative civil liability pursuant to Water Code sections 13268, 13350 and/or 13385. The Regional Water Board reserves the right to take any enforcement actions authorized by law.
7. Any person aggrieved by this action of the North Coast Regional Water Board may petition the State Water Resources Control Board (State Water Board) to review the action in accordance with Water Code section 13320 and California Code of Regulations, title 23, sections 2050. The State Water Board must receive the petition by 5:00 p.m., 30 days after the date of this Order, except that if the thirtieth day following the date of this Order falls on a Saturday, Sunday, or state holiday, the petition must be received by the State Water Board by 5:00 p.m. on the next business day. Copies of the law and regulations applicable to filing petitions may be found on the Internet or will be provided upon request.



Digitally signed by  
Matt St. John  
Date: 2020.06.29

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Matthias St. John  
Executive Officer

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